

GAU 2768  
2161



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Leon, Tomas; Spellman, Lewis J.  
Assignee: Trans Texas Holdings  
Title: SYSTEM AND METHOD OF INVESTMENT MANAGEMENT  
INCLUDING MEANS TO ADJUST DEPOSIT AND LOAN  
DOCUMENTS FOR INFLATION  
Serial No.: 09/550,752 Filing Date: 4/17/00  
Examiner: Unknown Group Art Unit: 2768  
Docket No.: M-8664-3C US

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*[Handwritten signature]*

San Jose, California  
December 21, 2000

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above ; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP

25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

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Mark J. Rozman  
Attorney for Applicant(s)

12/20/00  
Date of Signature

Respectfully submitted,

Mark J. Rozman

Mark J. Rozman  
Attorney for Applicant(s)  
Reg. No. 42,117

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP

25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979